




<b>DOCUMENT TYPE</b>	<b>P</b>	
<b>ACADEMIC</b>	<b>1</b>	
<b>RESEARCH AND RESEARCH TRAINING</b>	<b>3</b>	
<b>RESEARCH MANAGEMENT</b>	<b>4</b>	
<b>NUMBER</b>	<b>001</b>	Policy Database Document Reference Number <b>134001P</b>

## RESEARCH CENTRES AND INSTITUTES POLICY

<b>Purpose/ objectives</b>	<p>This policy covers establishment, reporting, review and closing of Centres and Institutes.</p> <p>The purpose of Centres are to:</p> <ul style="list-style-type: none"> <li>• provide a focal point for research strengths, especially where there are links with external users of that research.</li> <li>• be useful vehicles for stimulating research activities and the mounting of special projects which would otherwise be unlikely to occur, eg interdisciplinary, interuniversity and/or interuniversity/industry projects.</li> <li>• provide opportunities or are established to secure substantial additional funding for the University.</li> <li>• create critical mass of researchers in areas where this is vital, especially when this crosses Faculty, School or Department boundaries.</li> <li>• provide a way to showcase talents across different organisational units of the University.</li> <li>• provide a means for the University to maximise return on investment in research.</li> </ul>
<b>Scope/ Application</b>	<p>All campuses</p> <p>All Faculties</p> <p>All Centres and Institutes.</p>
<b>Policy Statement</b>	<p>The establishment of a Centre can only be justified where the proposed research activities will represent academic endeavour at a high level and be likely to enhance the national and international standing of the University.</p> <p>The establishment of an External Centre occurs after the signing of a contract which has been entered into following the Research Contracts and Grants Policy and Procedures.</p> <p>The RGSC (respectively, Faculty Board) will conduct an academic review of each University (respectively, Faculty) Centre each five to six years.</p> <p>Following the review of a University (respectively, Faculty) Centre, the RGSC (respectively, Faculty Board) shall make a recommendation to the Academic Board (respectively, RGSC) on a further period, up to six years, of University Centre status.</p> <p>On receiving a three-yearly report regarded as unsatisfactory, the Faculty Board or RGSC may seek further details from the Centre or set up a Working party to conduct a review and to advise it on whether it should recommend that the Centre be permitted to continue or be terminated.</p> <p>Recommendation by RGSC (respectively Faculty Board) that a University (respectively Faculty) Centre close shall be referred to the Academic Board (respectively RGSC) for review and decision. An External Centre expires at the end of the contract period, unless the contract is renewed or it is re-established as a University or Faculty Centre.</p>

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<b>Supporting Procedures</b>	Centres and Institutes Procedures
<b>Responsibility for implementation</b>	Deans,
<b>Responsibility for monitoring implementation and compliance</b>	Deans, Deputy Vice-Chancellor (Research) have responsibilities listed in the constitutions of Centres and this policy. Director, Research Services ensures reviews occur, annual reports are collected and tabled as required, reviews are supported and results are reported as well as supporting RGSC functions with respect to Centres.
<b>Status</b>	Revised format and content. Prior approval Academic Board 11/1994. The implementation of the latest version of this policy supersedes all previous versions of this policy.
<b>Key stakeholders</b>	Research and Graduate Studies Committee Planning and Resources Committee Heads of Centres and Institutes.
<b>Approval Body</b>	Academic Board, 10 June 2009, Council on 6 July 2009
<b>Initiating Body or person(s)</b>	Research and Graduate Studies Committee
<b>Definitions</b>	<p><b>Centres</b> –both Centres and Institutes whose main purpose is research</p> <p><b>Dean</b> – the Dean of the Faculty specified in the constitution of the Centre</p> <p><b>Director</b> – the Director of the Centre</p> <p><b>RGSC</b> – the Research and Graduate Studies Committee</p> <p><b>DVC R</b> – the Deputy Vice-Chancellor (Research)</p> <p><b>External Centres</b> – Centres which are established by means of a contract :</p> <p><b>University Centres</b> – Centres which have a budgetary allocation separate to normal Faculty allocations :</p> <p><b>Faculty Centres</b> –Centres other than those specified above.</p>
<b>Related legislation</b>	
<b>Related Policy and other documents</b>	Research Contracts, Grants and Consultancies Policy

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<b>Date Effective</b>	6 July 2009
<b>Next Review Date</b>	31 July 2013
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<b>Owner/Sponsor</b>	Deputy Vice-Chancellor (Research)
<b>Author</b>	Deputy Vice-Chancellor (Research)
<b>Contact person or area</b>	Deputy Vice-Chancellor (Research)